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SHEET 1 OF 1
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LIST OF REFERENCES CITED BY APPLICANT

(Use Several Sheets if Necessary)

DOCKET NO.:

3109/1G960

SERIAL NO.:

09/852,222

APPLICANT:

Roger ALCALY

FILING DATE:

May 9, 2001

CONFIRMATION NO.:

U.S. PATENT DOCUMENTS

<u>*EXAMINER INITIALS</u>	<u>DOCUMENT NUMBER</u>	<u>DATE</u>	<u>NAME</u>	<u>CLASS</u>	<u>SUBCLASS</u>	<u>FILING DATE</u>
	1. 5,126,936	06/30/92	Champion et al.	364	408	09/01/89
	2. 5,784,696	07/21/98	Melnikoff	705	36	06/06/95

FOREIGN PATENT DOCUMENTS

<u>*EXAMINER INITIALS</u>	<u>DOCUMENT NUMBER</u>	<u>DATE</u>	<u>COUNTRY</u>	<u>CLASS</u>	<u>SUBCLASS</u>	<u>TRANSLATION YES</u> <u>NO</u>
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OTHER REFERENCES
(INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

*EXAMINER INITIALS

3. Sharpe, W.F. "Asset Allocation: Management Style and Performance Measurement"; Journal of Portfolio Management, vol. 18, no. 2, Winter 1992, pp 7-13

4. Gibson, R.C., "The Rewards of Multiple-Asset-Class Investing"; Journal of Financial Planning, Vol. 12, no. 3, March 1999, Conclusion

EXAMINER:

DATE CONSIDERED:

*EXAMINER:

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.